

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: AUJAME et al.

Serial No.: 09/830,433

Examiner:

Filed: April 26, 2001

Art Unit:

For: NUCLEIC ACID AND POLYPEPTIDES SPECIFIC  
OF THE NEISSERIA GENUS...

Docket No.:

P07180US00/BAS

LETTER - MISSING PARTSAssistant Commissioner for Patents  
Washington, D.C.

S I R:

In response to the Notice to File Missing Parts of Application mailed May 24, 2001, enclosed are the following:

☒ X Copy of *Notice to File Missing Parts of Nonprovisional Application*☒ X **Declaration** signed by the named inventor(s)☐ Application Data Sheet supplementing signed declaration☐ Verified English translation of the application☒ X Check (\$990.00, as calculated below)☒ X Recordation Form Cover Sheet and **assignment** document to be recorded☐ Certified copy of **priority document**, the priority of which is hereby claimed☒ X **Preliminary Amendment** reducing the filing fee.☐ Information Disclosure Statement☐ Applicant claims **small entity status**☒ X Other: Petition for Extension of Time; Computer Readable Sequence Listing and Paper Copy; Copy of Notice

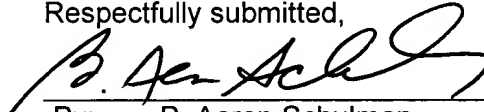
Fee Calculation (after reducing filing fee by preliminary amendment if noted above).

FEE CALCULATION and notations					
	NOW	Basic Number	Present Extra	Rate	\$
TOTAL CLAIMS	11	- 20		X \$ 18 =	
INDEP. CLAIMS	2	- 3		X \$ 80 =	
MULTIPLE DEPENDENT CLAIM(S)				+ \$ 270 =	
X LATE FILING SURCHARGE				+ \$ 130 =	130
X				BASIC FEE \$ 710 =	710
TOTAL OF ABOVE CALCULATIONS =					840
Reduction by 1/2 for small entity status of applicant					-
SUBTOTAL =					840
X Fee for recording of assignment				+ \$ 40 =	40
X Fee for Petition for Extension of Time				+ \$ =	110
TOTAL OF ALL FEES =					\$990.00

X If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.

Date: 16 August 2001

Respectfully submitted,



By: B. Aaron Schulman  
Reg. No.: 31,877

LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314

08/20/2001 SNAJARRO 00000053 09830433

01 FC:930 710.00 OP  
02 FC:154 130.00 OP

Adjustment date: 10/18/2001 UEDUVIJE  
08/20/2001 SNAJARRO 00000053 09830433  
01 FC:958 710.00 OP

Repln. Ref: 10/18/2001 UEDUVIJE 0010341500  
DAH:120555 Name/Number:09830433  
FC: 704 \$710.00 CR



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	09/830433	RECEIVED MAY 25 2001 AUJAME	ATTY. DOCKET NO.	P07180USOO/B
LARSON & TAYLOR SUITE 900 1199 NORTH FAIRFAX STREET ALEXANDRIA VA 22314			INTERNATIONAL APPLICATION NO. PCT/FR99/02643	
L.A. FILING DATE 28 OCT 99		PRIORITY DATE 30 OCT 98		
DATE MAILED: 24 MAY 2001				

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input type="checkbox"/> Indication of Small Entity Status.                                    |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.                    |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:  |
| <input checked="" type="checkbox"/> Priority Document.  |  |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |  |

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☒ PCT/DO/EO/920

Shakeel Ahmed

FORM PCT/DO/EO/905 (March 2001)

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